



GRANT APPLICATION
NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA)
&
NYS INTERMUNICIPAL WATER INFRASTRUCTURE GRANTS PROGRAM (IMG)
DRINKING WATER

A. APPLICANT

Name of Applicant: Town of Warrensburg County: Warren
Federal I.D. Number: 14-6002489 DUNS Number: 095841677
Cooperating Municipality(ies) (IMG Only):

Highest Elected Official: Kevin Geraghty Phone: 518-623-9511
Title: Town Supervisor Email: Kevin.Geraghty@townofwarrensburg.net
Mailing Address: 3797 Main Street
Warrensburg, NY 12885

Authorized Representative: Kevin Geraghty Phone: 518-623-9511
Title: Town Supervisor Email: Kevin.Geraghty@townofwarrensburg.net
Mailing Address: 3797 Main Street
Warrensburg, NY 12885

Daily Contact Name: Tracy Benoit Phone: 518-744-4612
Title: Chief Operator Email:
Company: Town of Warrensburg

Engineering Consultant: Jessica Leerkes Phone: 518-623-5500
Title: Project Manager Email: jleerkes@cedarwoodengineering.com
Company: Cedarwood Engineering Services, PLLC

B. GRANT CATEGORY

Identify the grant program(s) for which you are applying by checking the appropriate box or boxes below.

- [X] New York Water Infrastructure Improvement Act (WIIA) grant
[] Intermunicipal Water Infrastructure Grants Program (IMG) grant



### C. GENERAL INFORMATION

Project Name: Water Storage Tank Transmission Line Replacement

Project Location: Town of Warrensburg

43.47944 Latitude -73.77838 Longitude

Service Area: Warrensburg Water District

Population of Project Service Area: 1,300

Public Water Supply ID Number NY-5600112

Legislative Districts (include multiple districts if applicable)		
US	NY	NY
Congress	Senate	Assembly
<u>21</u>	<u>45</u>	<u>114</u>

Estimated Total Project Cost: \$1,241,750 Design Start Date: 1/2019 (T / A)

Construction Start Date: 7/2019 (T / A) Construction End Date: 12/2019 (T / A)

Check "T" for a target date and "A" for an actual date

### D. PROJECT INFORMATION

1. Provide a brief description of your project and why it is the best solution to an identified water quality problem.

The Town of Warrensburg, NY owns and operates a water system, which provides potable water and fire flow for the Warrensburg Water District. The water system is generally in good condition; however, a dedicated 3,700 foot 12" cast iron transmission line that connects the storage tank to the distribution system is in very poor condition and has to be repaired on an increasingly frequent basis. When this line needs to be repaired it causes significant disruptions to the homeowners and the commercial businesses that rely on a continuous flow of water. Additionally, the associated tank isolation valve is not functional making it difficult to make repairs to transmission line. The water storage tank transmission line is over 80 years old and should be replaced with a new transmission line and isolation valve and valve vault that can assure uninterrupted service. The estimated total cost of replacement is \$1,241,750. The replacement of the line is the best solution as it minimizes time that users will be without water since it can be done while the old line stays in service, doesn't require any additional easements, and is the lowest cost option.

2. If applying for an IMG Grant, describe how the joint project benefits the cooperating municipalities and is the best solution for an identified water quality problem.

Not Applicable



3. Which of the following categories does the project scope address?

- Source     Treatment     Storage     Distribution

4. Has any portion of your source, treatment, or distribution system been compromised or created a situation where the system users were without water?

- No     Yes    If yes, please explain.

5. Is the project required through an enforcement action, such as a DOH violation, DOH and/or EPA consent order, judicial order, compliance schedule?

- No     Yes    If yes, indicate the type, date of execution and reason for the enforcement action as it relates to the project. Please submit a copy of the executed enforcement action or compliance order with the grant application.

6. Describe the project's community impacts, including but not limited to, public support for the project, critical community resources served by this project, or economic development impacts.

Generally, the public supports the project. The transmission line supplies all the critical community resources, including but not limited to schools, health centers, assisted-living facilities, and the fire and ems departments. It also supplies the areas of economic development including businesses, restaurants and the downtown area. If there was a rupture of the transmission line, all critical services/resources, businesses and commercial areas would be without water.



7. Is the project identified in any regional planning initiatives (e.g. a Comprehensive Plan, Regional Planning document, Waterfront Revitalization Plan, Watershed Plan or Estuary Plan)?

No  Yes If yes, describe below and attach applicable documents:

The Town of Warrensburg is located in the First Wilderness Heritage Corridor.

8. Does the project require easements or land acquisition?

No  Yes If yes, describe the status of obtaining the easement an/or land. If the process has been completed, attach the Title Certification and/or proof of easement acquisition.

9. Does the project require a debt exclusion approval from the Office of the State Comptroller (OSC)?

No  Yes If yes, describe the status. If the debt exclusion has been approved, attach the OSC approval letter.

10. Describe the current status of the project and, as applicable, your ability to demonstrate community impacts or readiness to proceed forward including, but not limited to, an executed engineering agreement for design services, executed professional service agreements, detailed project schedule, plans and specifications.

The project is ready to proceed into final design and construction in 2019 contingent on the receipt of funding. An executed engineering contract and bond resolution are included as part of this application. The project schedule is shown below.  
Submit Funding Application -September 2018  
Design Development -January 2019  
Submit Plans for Approval-March 2019  
Advertise for Bids-February 2019  
Award Contract -June 2019  
Start of Construction- July 2019  
Substantial Completion- December 2019





**E. TOTAL PROJECT BUDGET**

Please add line items to the budget as needed. If you have additional questions, please call EFC or refer to the Intended Use Plan.

Category	Estimated Costs
1. Construction Costs	
Contract 1	\$ 992,500
Contract 2	\$
Contract 3	\$
Contract 4	\$
2. Engineering Costs	
a. Planning	\$
b. Design	\$ 85,000
c. Construction	\$ 30,000
d. Other -Grant Administration	\$ 25,000
3. Other Expenses	
a. Local Counsel	\$
b. Bond Counsel	\$ 10,000
c. Work Force	
- Technical	\$
- Administrative	\$
d. Fiscal Services	\$
e. Net Interest	\$
f. Miscellaneous (please describe)	\$
	\$
	\$
	\$
4. Equipment	\$
5. Land Acquisition	\$
6. Contingencies	\$ 99,250
7. Total Project Costs (sum lines 1-6)*	\$ 1,241,750
8. Less: Other Sources of Funding	\$
9. Total Financial Assistance Requested (line 7 minus line 8)	\$ <b>1,241,750</b>

\*This value should match the estimated project cost on Page 2.



**F. REQUIRED DOCUMENTS**

1. For All Applications: The following documents are **required and must be submitted with the application** if not previously submitted to DOH/EFC. If any of these items are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Engineering Report</b>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Smart Growth Assessment Form</b>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Environmental Review Determination</b>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>SHPO Project Review Determination Letter</b>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<b>Authorizing Resolution (Certified)</b>

2. The following documents are **required as indicated and must be submitted with the application** if not previously submitted to EFC. If any of these items apply to your project and are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	Not Applicable	
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Intermunicipal Agreement (Valid and Binding)</b> <i>(Required if applying for IMG)</i>
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>DWSRF Financing Application</b> <i>(Required if applying for WIIA or IMG grants with DWSRF Financing)</i>
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>Water District, District Extension Formation or Other OSC Approvals</b> <i>(Required if forming or extending a district)</i>



### G. ACKNOWLEDGEMENTS

1. Upon submission of this application, you acknowledge your responsibility to comply with New York State Executive Law, Article 15-A with respect to Minority and Women’s Business Enterprise (MWBE) – Equal Employment Opportunity (EEO) requirements, and Service-Disabled Veteran-Owned Business (SDVOB). By checking this box, you acknowledge that you are aware of these obligations and that you are authorized to make this acknowledgement on behalf of the applicant.

MWBE Goals for IMG with DWSRF financial assistance - 24%

MWBE Goals for WIIA with DWSRF financial assistance - 26%

MWBE Goals for WIIA or IMG without DWSRF financial assistance - 30%

EEO goals for all applicants - % varies by County (<http://www.efc.ny.gov/mwbe>)

SDVOB goals without DWSRF financial assistance – 6%

SDVOB goals are not required, but encouraged, with DWSRF financial assistance

Acknowledged

2. To receive financing assistance through the DWSRF, you will need to meet various other New York State and federal requirements. These requirements include, but are not limited to, Davis-Bacon Federal Prevailing Wage and related acts, and American Iron and Steel. Recipients of financial assistance will be required to perform certain actions to verify compliance and ensure certain provisions are contained in all contracts and subcontracts. By checking this box, you acknowledge that you are aware of this requirement and that you are authorized to make this acknowledgement on behalf of the Applicant.

Acknowledged

Please refer to the current IUP for your additional detail regarding responsibilities under these programs.





### H. SIGNATURE FOR GRANT APPLICATION

CERTIFICATION: On behalf of the Applicant, and in accordance with the board resolution by

Warrensburg Town Board

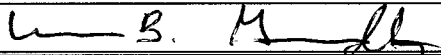
(Governing Body of Municipal Applicant)

authorizing me to do so, I apply for a WIIA grant and/or IMG grant for the project described in this application. By signing this application, I certify and agree on behalf of the Applicant and its governing body that all of the information contained in this application, in other statements and exhibits attached hereto or referenced herein, and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving a WIIA grant or IMG grant for the project described herein, are true, correct and complete to the best of my knowledge and belief.

I further agree on behalf of the Applicant that, if DWSRF assistance is provided for the project described in this application, the Applicant shall comply with all applicable provisions of the Federal Safe Drinking Water Act, 42 U.S.C. §§ 300f, et seq., and applicable provisions of state law, codified under Chapter 413 of the Laws of New York of 1996, 10 NYCRR Part 53, and 21 NYCRR Part 2604, as amended, regarding DWSRF assistance.

I further agree that the Applicant will comply with the provisions of the Minority and Women's Business Enterprise – Equal Employment Opportunity requirements of Article 15-A of the New York State Executive Law and will maintain such records and take such actions necessary to demonstrate such compliance throughout the construction of the project.

Further, I acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.



(Signature of Authorized Representative)

Kevin Geraghty, Town of Warrensburg Supervisor

(Name and Title)

Town of Warrensburg

(Municipal Applicant)

9/4/2018

(Date)